

SEC/GovC/X/15/1063g – SEC/GenC/X/15/093g

## Certification services provided by ESCB-PKI's Certification Authority Application form for ESCB/SSM users

### Certificate applicant's data<sup>1</sup>

#### Personal data

First name<sup>2</sup>

Middle name (if any)<sup>2</sup>

Surname<sup>2</sup>

[National identity number<sup>3</sup>]

[Birth date<sup>3</sup>]

[Birth place<sup>3</sup>]

#### Central Bank / National Competent Authority related data

CB / NCA<sup>2</sup>

ESCB User ID<sup>2</sup>

E-mail address<sup>2</sup>

Employee number<sup>3</sup>

#### Technical data

Certificate level

Token serial number

By signing this document and upon receipt of the ESCB-PKI certificate, you (hereinafter “you” or “certificate applicant”) agree to the following terms and conditions, and acknowledge the ESCB-PKI certification practice statement (“CPS”) and the certificate policies (“CP”) for the ESCB/SSM users’ certificates, as well as any modifications of those documents. The ESCB-PKI will not contact you to communicate any change to these documents, but they are available at <http://pki.escb.eu>.

In .....On .....

The validation of the identity has been carried out based on the employee identification card or an official identification document according to the legislation applicable to the central bank or national competent authority acting as registration authority

.....  
Name and signature of the certificate applicant

.....  
Name and signature of the person who validates the certificate applicant’s identity

<sup>1</sup> In line with Section 9.4.3 of the CPS, each CP shall establish the personal data to be included in the personal certificates. Acceptance by the applicants of the certificates issued in their name constitutes their consent to publish the certificates in the repositories described in section 2.2 of the CPS and CP. Access to the repositories is granted on a need-to-know basis.

<sup>2</sup> Information to be included in the certificate.

<sup>3</sup> Either the number of a national recognized identity document, according to the legislation applicable to the central bank or national competent authority acting as registration authority, or the date and place of birth, or, in the alternative, if the certificate applicant has already been identified by the central bank or national competent authority acting as registration authority through a face-to-face identification process and a proof of identity, the number of the employee identification card or the employee number if this is printed on the employee identification card

**Terms and Conditions**

These Terms and Conditions constitute the terms and conditions between you and your central bank or national competent authority, in representation of the Eurosystem central banks and the European Central Bank (as the owners of the ESCB-PKI), for the provision of the ESCB-PKI services as described in the CPS and the corresponding CP. The terms used in these ESCB-PKI Terms and Conditions will have the meaning given to them in the CPS and the CP unless stated otherwise. These Terms and Conditions shall, therefore, be binding upon both you (the certificate applicant) and your central bank or national competent authority. Your central bank or national competent authority shall endeavour to ensure that their users comply with their obligations.

**1. Obligations**

The certificate applicant/you shall:

- 1.1 Provide accurate, full and truthful information when filling the application form.
- 1.2 Inform its central bank (hereinafter “CB”) or national competent authority (hereinafter “NCA”) of any modification of personal data collected in this form.
- 1.3 Limit the certificate’s use to the boundaries set out in his/her contractual relationship with the CB/NCA.
- 1.4 Take the necessary security measures within its control in order to avoid any loss, modification or unauthorised use of the cryptographic card.
- 1.5 Be responsible for the secure custody of the PIN and PUK secret numbers for activation and unlocking the cryptographic card.
- 1.6 Request the revocation of the certificate in case the data specified in the certificate changes, or when you have knowledge that the private key might be under risk due to, among other causes, loss, theft, or knowledge by third parties of the PIN and/or PUK.
- 1.7 Not monitor, manipulate or carry out any reverse engineering (i.e. try to discover the technical principles through analysis of its structure, function or operation) on the technical implementation (hardware and software) of the certification services.
- 1.8 Not transfer or delegate to third parties the obligations pertaining to the certificate assigned to them (e.g. not transfer the cryptographic card or the PIN and PUK).

**2. Personal data protection**

Personal data provided in this application form are deemed to be personal data pursuant to Directive 95/46/EC of the European Parliament and of the Council of 24 October 1994 on the protection of individuals with regard to the processing of personal data and on the free movement of such data<sup>4</sup> (hereinafter “Data Protection Directive”) and Regulation 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing or personal data by the Community institutions and bodies and of free movement of such data<sup>5</sup> (hereinafter ‘Data Protection Regulation’).

The Eurosystem Central Banks (as the owner of the ESCB-PKI), Banco de España (as the service provider) and the non-euro area NCBs and the SSM NCAs that use the ESCB-PKI are co-controllers for ESCB-PKI data protection purposes and shall comply with and apply the legal, technical and management measures required by the respective national legislation transposing the Data Protection Directive. The ECB processes personal data in accordance with the Data Protection Regulation.

The certificate applicant is informed and agrees that the personal data provided in this application form will be recorded, processed and the certificate data published, by the CB/NCA and Banco de España, for the sole purpose of providing the ESCB-PKI services described in the Decision ECB/2015/46 amending Decision ECB/2013/1 laying down the framework for a key infrastructure for the European Systems of Central Banks, including the generation of the certificates and key pair. The CB/NCA will retain a copy of this application form. A certificate applicant may exercise his/her right of access, rectification and deletion of his/her personal data by contacting the service provider at the following address or through the CB/NCA’s registration office below:

**SERVICE PROVIDER**

Name	Banco de España Information Systems Department - Departamento de Sistemas de Información ESCB-PKI
E-mail address	<a href="mailto:escb-pki@pki.escb.eu">escb-pki@pki.escb.eu</a>
Address	C/Alcala, 522, 28027 - Madrid (Spain)

**CENTRAL BANK / NATIONAL COMPETENT AUTHORITY ACTING AS REGISTRATION AUTHORITY**

Name	
E-mail address	
Address	

**3. Limitation of liability**

The Eurosystem central banks and the European Central Bank do not assume any liability whatsoever for the content of documents signed using its certificates, nor for any other use of its certificates, such as message or communication encipherment processes.

<sup>4</sup> OJ L 281, 23.11.1995, p. 31.

<sup>5</sup> OJ L 8, 12.1.2001, p. 1.